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2	Conserving Amazon's Freshwater Ecosystem's Health and Connectivity
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4	Lead Authors
5	Andrea C. Encalada (Researcher and Provost, Universidad San Francisco de Quito, Ecuador)
6	Adalberto Val (Researcher, INPA - Brazilian National Institute for Research of the Amazon,
7	Manaus)
8	
9	Coauthors
10	Simone Athayde (World Resources Institute, and University of Florida, USA)
11	Jhan Carlo Espinoza (IRD, Perú)
12	Marcia Macedo (Woodwell Climate Research Center, USA)
13	Mirian Marmontel (Instituto Mamirauá, Brazil)
14	Guido Miranda (Wildlife Conservation Society, Bolivia)
15	Maria Tereza Piedade (INPA, Brazil)
16	Tiago da Mota e Silva (Postdoctoral internship, INPA, Brazil)
17	Julia Arieira (Scientific-Technical Secretariat, Science Panel for the Amazon - SPA)
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## 21 KEY MESSAGES

## I. Acknowledge Essential Biodiversity and Services of Amazonian Freshwater Ecosystems

24 Amazonian Freshwater Ecosystems deliver invaluable services essential for global ecological 25 balance, including water purification, provision, transportation, energy, and food production, 26 along with carbon sequestration and diverse habitats. The Amazon Basin plays a pivotal role in 27 hydrological cycling, recycling 24% to 35% of its water annually and contributing significantly 28 to continental rainfall through 'aerial rivers' that transport 6,400 km<sup>3</sup> of water each year. This 29 basin also discharges an average of 1,122 megatons (Mt) of suspended sediments annually, 30 crucial for soil fertility and ocean health. Additionally, the region's freshwater ecosystems boast 31 remarkable biodiversity, with approximately 2,500 fish species, nearly half of which are 32 endemic. These ecosystems are vital for the livelihoods of Amazonian communities, 33 exemplified by the Low Solimões where the daily fish consumption per capita reaches 550 34 grams.

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## II. Maintain Multidimensional Connectivity in Amazonian Freshwater Ecosystems

37 Preserving the complex connectivity within Amazonian Freshwater Ecosystems is crucial for sustaining ecological processes, water recycling, biological and cultural diversity, and the 38 39 resilience of the entire basin. This connectivity encompasses longitudinal, lateral, vertical, 40 temporal, biocultural, and bioeconomic dimensions. Notably, 223 Amazonian fish species are 41 documented as migratory, depending heavily on these longitudinal and lateral connections. 42 Despite this, numerous hydroelectric projects—both existing and planned—pose significant 43 threats by disrupting these vital connections. This situation underscores the urgent need for 44 comprehensive management and proactive policy measures to protect the Amazon's freshwater 45 ecosystems.

46

## 47 III. Rapid degradation of Amazonian Freshwater Ecosystems

Amazonian Freshwater Ecosystems are undergoing rapid degradation due to water pollution, oil spills, mining, dam construction, deforestation, and climate change. Compounding this issue, there are no sewage treatment plants in any Amazon Basin cities, and mining and oil projects frequently operate with substandard environmental practices, leading to significant environmental liabilities. These factors not only fragment rivers but also sharply reduce their biodiversity, functionality, and the provision of ecosystem services. The repercussions of this

degradation are severe, including loss of biodiversity, increased frequency and intensity of fires,
disruptions to biogeochemical cycles, and significant deterioration in water quality and
availability. These changes have detrimental impacts on fish populations, energy production,
and the well-being of Indigenous Peoples and local communities (IPLCs).

58

## 59 IV. Conservation, Remediation and Restoration as Imperatives

60 Conservation, remediation, and restoration must be prioritized across the entire Amazon Basin. 61 This includes developing specialized conservation frameworks for freshwater ecosystems and 62 enhancing sewage treatment in Amazonian cities. There is a critical need for major projects that 63 actively restore riparian vegetation, buffer floodplain areas, and reconnect rivers, streams, and 64 wetlands. These efforts should aim to improve water quality, protect headwater regions, and establish connectivity corridors. Moreover, applying innovative technologies to develop more 65 effective water treatment solutions is essential for maintaining ecological flows and restoring 66 67 the health of freshwater ecosystems. Collaborative interdisciplinary efforts involving citizens, 68 stakeholders, NGOs, academia, and governments are vital for these initiatives to succeed.

69

### 70

### V. Inclusivity and Community Management

71 The Amazon Basin is home to 47 million people, including an indigenous population of 2.2 72 million. Recognizing Indigenous Peoples and Local Communities (IPLCs) as essential stewards 73 of Amazon Freshwater Ecosystems is crucial. Integrating their traditional knowledge with 74 scientific approaches enhances conservation, remediation, and restoration efforts. There is 75 compelling evidence that inclusive governance and co-management not only sustain ecosystem 76 health but also boost local economies. Emphasizing the recovery of ancestral knowledge and 77 cultural beliefs about water—including its reverence as a deity and its role in healing social ties 78 with nature—further enriches these efforts.

79

## 80 VI. Transnational Coordination, Collaboration and Financial Support

Each Amazonian country must develop and implement national public policies for freshwater ecosystems, recognizing rivers, streams, and wetlands not merely as water sources but as unique ecosystems providing essential services. It is imperative to establish transnational agreements for the management and recovery of these systems, acknowledging that eight countries and one territory are interconnected by the Amazon Waters. Enhanced collaboration among these nations is crucial to tackle transboundary environmental challenges effectively and to promote the adoption of sustainable alternative energy sources. This approach will ensurecomprehensive, cohesive management across the Amazon Basin.

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### 90 **RECOMMENDATIONS**

91 I. Cease Dam Construction and Promote Decentralized Sustainable Energy: Halt dam
92 construction in the Amazon and invest in decentralized sustainable energy projects that bolster
93 the local community economies.

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95 II. Enhance Water Treatment and Pollution Control: Urgently invest in water treatment
96 infrastructure, enforce pollution control policies, and strengthen monitoring efforts. Promote
97 the restoration of riparian vegetation, especially in areas degraded by illegal mining.

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99 III. Integrate Deforestation Reduction with Climate Policy: Reduce deforestation and
100 degradation in Amazonian forests and freshwater ecosystems by incorporating climate change
101 policies and forest protection strategies into regional development planning.

102

IV. Invest in Science and Cross-Disciplinary Research: Urgently invest in science,
technology, and innovation to improve monitoring and support cross-disciplinary research
aimed at understanding and addressing stressors on Amazonian Freshwater Ecosystems.

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107 V. Empower IPLCs in Freshwater Management: Support the leadership of Indigenous
108 Peoples and Local Communities (IPLCs) in freshwater management and conservation, respect
109 cultural diversity, and integrate Indigenous knowledge into governance structures and scientific
110 innovation.

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112 VI. Debate New Conservation Frameworks: New conservation frameworks should be113 discussed and proposed, such as the creation of Fluvial Community Reserves.

114

115 VII. Establish Transnational Governance for River Protection: Transnational governance
116 agreements are crucial for the protection of longitudinal river ecosystems.

VIII. Secure International Financial Support: Call for international and intergovernmental
financial support to enable local, regional, and global initiatives aimed at conserving and
restoring Amazon Freshwater Ecosystems.

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### **122 GRAPHICAL ABSTRACT (UNDER CONSTRUCTION)**

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## A. THE AMAZON BASIN: THE LARGEST AND MOST DIVERSE FRESHWATER NETWORK ON THE PLANET

126

## 127 Amazon freshwater characteristics, functions and biodiversity

128 The formation of the Amazon River dates from 10 to 4,5 million before present time, when 129 western and eastern Amazon became connected, driven by the uplift of the Andes and longterm erosion processes. Through millions of years, the historical changes in the courses of major 130 131 lowland rivers and floodplains had a profound effect on the richness and resilience of the 132 Amazon biodiversity (Cracraft et al. 2020; Laranjeiras et al. 2021; Val et al. 2021). Nowadays, the Amazon basin spans 7.3 million  $\text{km}^2$ , of which around 40% is in the Andes. At the mouth 133 134 of the river, it boasts a discharge of approximately 220,000m<sup>3</sup> per second (Costa et al. 2021), 135 constituting 16-22% of the Earth's freshwater river discharge (Costa et al 2021). The intricate 136 Amazonian hydrological network comprises approx. 15,000 catchments (300-1000 km2) 137 (Venticinque et al., 2016) and a diverse array of other freshwater ecosystems, such as tectonic 138 lakes, swamps, wet meadows, Andean freshwater marshes, mangroves, meander lagoons, 139 riparian wetlands, and expansive floodplains (Junk et al. 2014, Moraes et al. 2021).

140

141 Roughly 30% of the Amazon region can be described as wetlands, encompassing various types 142 of ecosystems at the interface between land and water, distinguished by factors such as flood 143 frequency, depth, duration, water chemistry, vegetation, and associated wildlife (summarized in Junk et al. 2011). Carving through the landscape, these waters sculpt a mosaic of aquatic 144 145 (e.g., rivers and lakes), semi-aquatic (i.e., systems with periodically flowing waters), and semi-146 terrestrial (i.e. systems flooded during periods of different length) freshwater habitats (Milton 147 and Finlayson 2017). Also, the distinctive geomorphological, and physical-chemical water 148 attributes (e.g. temperature, pH, dissolved oxygen, and organic and inorganic carbon) of these 149 environments foster unparalleled adaptive strategies among its organisms (Guayasamin et al. 2021; Val & Almeida-Val, 1995; Gonzalez et. al. 2002, 2024; Johansson et al. 2017). 150

152 Variability through time is a major aspect of the definition of these wetlands. That is because 153 fluctuations in rainfall and river discharge drive pronounced seasonal changes in the water level 154 of large Amazon rivers, causing them to overflow their banks into adjacent floodplains. Because 155 it extends into both hemispheres, the Amazon is characterized by several rainfall regimes due 156 to the alternating warming of each hemisphere. The Amazonian rainy season occurs in austral 157 winter in the north and austral summer in the south. The northwest equatorial region 158 experiences low rainfall seasonality, with wet conditions throughout the year (e.g., Figueroa 159 and Nobre, 1990; Espinoza et al. 2009, 2015). The northern portion of the Amazon basin, in the 160 Roraima region, as well as the southern part, by the Cerrado, present smaller wetland areas, as 161 precipitation is much lower. Because of this, as environmental degradation advances in both of 162 those areas, the native forest there is replaced by grasslands, or savannas, losing critical 163 ecological services.

164

Depending on the type of flooding, wetlands can be subject to stable water-levels or oscillating 165 166 water-levels. The wetlands with predictable monomodal pulses are of two classes, interfluvial 167 wetlands subject to low-amplitude pulses, and floodplains of large rivers subject to high-168 amplitude pulses. In particular, the seasonal flood pulse of the major rivers strongly influences 169 the structure and function of floodplains (Junk et al. 1989; Melack and Coe 2021). Floodplains 170 of large rivers cover approximately 750,000 km2 (aprox. 11%) of the area of the Amazon basin 171 (Wittmann and Junk, 2016). The associated rivers may be of Andean sedimentary origin, constituting the várzeas of fertile white waters (e.g. Amazon River), or the igapós, when 172 173 draining the ancient Guianas or Central Brazil geologically old shields, which are acidic and 174 carry low amounts of sediments. These seasonally flooded forests are of vital importance and 175 constitute the most species-rich floodplain forests on the Planet. Lastly, periodic flooding and 176 high (sometimes variable) salinity create specific conditions in coastal wetlands, such as 177 mangroves that occur mostly along the coasts of Amapá, Pará, and Maranhão, which are centers 178 of biodiversity and play an important role as link between inland water and the marine 179 environment (Junk et al. 2011).

180

181 All this rich tapestry of life experiences seasonal fluctuations in river levels and the receding 182 and rising of seasonal floods are crucial for sustaining the nutrient and biological cycles of the 183 region as a whole. Connectivity between river systems and their associated lakes is vital for the vegetation and fauna of these environments, in terms of the maintenance of viable habitat, seed
dispersal and feeding. Ultimately, the essence of the Amazon hinges upon the
interconnectedness of its waterways, facilitating the exchange of water, nutrients, sediments,
and biodiversity (Junk, 2013).

188

## 189 The multidimensional connections of the Amazon

190 We can identify distinct dimensions of water connectivity within the basin. In all of them, time 191 takes a significant role, as there is intense variability and change in freshwater habitats through 192 the seasons. For the purposes of this policy brief, we consider five dimensions of connectivity 193 through the basin taking into consideration both ecological and socio-economic aspects: the 194 longitudinal dimension, linking the Andes with the rest of Amazon and with the Atlantic 195 Ocean; the lateral dimension, connecting rivers, forests, and wetlands to provide conditions 196 for numerous species to strive; the vertical dimension, encompassing interactions between 197 wetlands, aerial rivers, and groundwater; the biocultural dimension, incorporating the 198 relationship of human population's cultural traditions and beliefs with rivers, wetlands and their 199 aquatic biodiversity; and the **bio-economical dimension**, acknowledging the provision of food, 200 transportation, drinking water, and economic activities by aquatic ecosystems. We advocate for 201 conservation initiatives that ensure open connectivity within the basin, considering all these 202 dimensions, while ensuring equity and inclusion in conversation planning, policies and practices. 203

204

Longitudinal Dimension: The Amazon-Andes-Atlantic transition is a crucial zone of 205 206 hydrological connection (Encalada et al. 2019). The region experiences high rainfall rates 207 (between 6000 and 7000 mm/year) due to interactions between regional atmospheric circulation 208 and temperature and moisture contrasts (Giovannettone and Barros, 2009; Poveda et al., 2014; 209 Espinoza et al., 2015; Chavez and Takahashi, 2017). These rainfall rates result in significant 210 erosion, providing nearly all of the suspended sediment load observed in the Amazon Basin. It 211 is estimated that the Amazon River exports between 550 and 1500 Mt/year of sediment load to 212 the Atlantic Ocean (Wittmann et al 2011), with 90% of total originating in the Andes (Meade 213 et al. 1985). In regard to the transport of nutrients, the primary contributions from the 214 longitudinal connectivity of the river channel consist of water and inorganic material, whereas 215 the lateral connection between the river and floodplain plays a more significant role in the 216 production of organic material (Junk et al. 2011). Also, many species depend on this transition

zone for their life cycles, including long migration journeys related to fish reproduction that
sustain fisheries throughout the basin (Baigún and Valbo-Jørgensen, 2023).

219

220 Lateral Dimension: The varied aquatic and semi-aquatic habitats of the lowland Amazon are 221 subject to seasonal fluctuations (Figure 1, Box 1), creating interconnected corridors during high-222 water periods that facilitate species migration and seed dispersal between rivers and lakes with 223 the floodplain, and as refuge during low-water periods (Junk 2001). The adaptive capabilities 224 and genetic diversity of Amazonian aquatic biota is highly dependent on habitat exchange, 225 allowing organisms such as fish and aquatic mammals to seek optimal conditions for survival 226 (Martin and da Silva 2004; Caldas et al. 2022; Junk 1984). Moreover, floodplains store and 227 transport water, sediments and nutrients during high water periods influencing high primary 228 and secondary production, thus sustaining fishery resources (Junk 2001). Lastly, the 229 evolutionary interaction between fish-tree fruits in the Amazon highlights the critical role of 230 river-floodplain connectivity for plant recruitment dynamics and diversity (Correa et al. 2015; 231 Araújo-Lima & Goulding 1998).

232

Vertical Dimension: Approximately 25–50% of the total annual rainfall observed in the 233 234 tropical Andes originates from Amazon tree transpiration (Staal et al. 2018). Part of the 235 produced moisture is transported westward by winds flowing in low altitudes (~1km), known 236 as "aerial rivers" reaching as far south as northern Argentina and supplying water to other major 237 river basins on the continent (Costa et al. 2021; Chung et al. 2022). These aerial rivers carry 238 an amount of water vapor equivalent to the average flow of water at the mouth of the Amazon 239 River (10-23 billion liters per day) (Arraut et al. 2012). Moreover, the large amount of rainfall 240 infiltrates the ground and contribute to the formation of large aquifers like the Alter do Chão-241 Icá aquifer system, with a recharge amount estimated to be at least 236,400 and 350,000 242 m3/year (Val et al. 2021, Azevedo & Campos, 2021).

243

Biocultural Dimension: IPLCs hold worldviews (Box 2), linguistic conceptualizations,
spiritual connections and experiential knowledge of Amazonian Freshwater Ecosystems gained
over many years (Clement et al. 2015; Neves et al. 2021; Athayde et al. 2024 in progress).
Archaeological sites found in both large rivers and small tributaries indicate that pre-Columbian
Indigenous populations have modified significant portions of Amazonian forests and freshwater
ecosystems such as floodplains and wetlands over different time periods (McMichael et al.

2012; Thomas et al. 2015). Recently, indigenous and local knowledge (ILK) systems have been
combined with scientific knowledge and technology to protect and restore freshwaters and
headwaters through co-management experiences and fisheries agreements (**Box 3**), including
cases in which IPLCs have been meaningfully involved in decision-making processes (CamposSilva et al. 2019; Correa et al. 2020).

255

256 **Bioeconomic dimensions:** Fish are important providers of protein, micronutrients, and income 257 for both rural and urban households across the Amazon Basin (Barletta et al. 2010). The 258 estimated total extraction of fish in the Amazon basin is between 422,000 and 473,000 tons per 259 year, almost 75% of which is represented by the Brazilian part of the basin (Sirén and Valbo-Jørgensen, 2022). There is also a great significance of freshwater ecosystems for Amazonian 260 261 agro-forestry crops and resources of great economic importance (such as cacao, açaí palm and 262 many others), which have been domesticated or semi-domesticated by IPLCs (Clement et al. 263 2010; Athayde et al. 2021). Finally, fluvial transport plays a crucial role in accessing remote 264 areas, enabling services such as public health to meet the demands of rural areas (Rocha et al. 265 2023).

266

# B. MAIN DRIVERS OF DEGRADATION OF FRESHWATER ECOSYSTEMS

The ecosystems of the Amazon have been facing significant challenges due to human actions that promote degradation of aquatic habitats and compromise the crucial connectivity of the water network. In this topic, those drivers of degradation that cause greater concerns are detailed.

273

## 274 River Fragmentation

The primary threat to freshwater connectivity is river fragmentation, particularly due to hydropower development (Grill et al. 2019), which currently impacts rivers ranging from the Andes to large basins like the Marañon, Madeira, Napo, Tapajós, Tocantins, and Ucayali (Winemiller et al. 2016; Latrubesse 2017; Anderson et al. 2018; Caldas et al. 2022) (Figure 2).

Dams alter riverine habitats by changing hydrological patterns, sediment flows (Timpe &
Kaplan 2017; Anderson et al. 2019; Caldas et al. 2022; Chaudhari and Pokhrel 2022),
temperature, and nutrient balance (Pavanato et al. 2016), affecting various freshwater organisms

and causing declines in migratory species (Caldas et al. 2022). Additionally, studies show that
some lowland dams in the Amazon may exceed in greenhouse gas emissions per unit of
electricity generated when compared to fossil fuel power plants (Almeida et al. 2019).

286

Fragmentation of Amazon's Freshwater Ecosystems cause significant socio-economic and socio-cultural impacts on IPLCs, including livelihood impoverishment, loss of food security, as well as psychological and spiritual effects (Athayde et al. 2019). Research has shown that changes in diets and fisheries can affect food security and consumption patterns among Amazonian populations (Torres-Vitolas et al. 2019; Begossi et al. 2018; Blundo-Canto et al. 2020), exacerbating malnutrition in riverine and urban communities (Heilpern et al. 2021).

## 293 Freshwater Degradation

294 The loss of freshwater and its biodiversity in Amazonian ecosystems is strongly related to 295 environmental degradation, resulting from manifold activities (Piedade et al. 2024), including 296 such as water capture for agricultural activities and livestock. Agricultural and livestock uses 297 are the prime drivers of wetland loss. Land-cover change related to cattle ranching and crop 298 production has affected about 20% of the Amazon basin, particularly to the south and 299 southwestern region, where native forest has been replaced by grassland and savannas (Castello 300 & Macedo, 2016). Thus, this land use is usually associated with removal of vegetation, loss in 301 biodiversity and the occurrence of hydrological droughts, which are exacerbated during severe 302 hydrometeorological events.

303 Different sources of pollution are also a major concern. Domestic and industrial sewage 304 discharged into water bodies represent dangerous sources of contamination. Also, inadequate 305 disposal of solid waste results in leaching of liquids generated by their decomposition, which 306 are highly toxic to the environment and to human health.

Oil spills affect organisms in many ways, leading to negative effects such as impaired
development in aquatic plants (Lopes et al. 2009) or intoxication in fish (Brauner et al. 1999;
Val & Almeida-Val, 1999). Exposure to oil spills on humans may lead to negative impacts such
as effects on mental health, physical and physiological effects, toxic effects in the
immunological and endocrine systems, damages in the genetic material (summarized by Laffon
et al. 2016).

313 Mining impacts freshwater ecosystems directly by altering stream and river morphology due to 314 excavations, by increase in sediment loads, by the large-scale deforestation related to it, and by 315 introducing pollutants such as mercury (Wittman & Junk, 2016). The latest study shows that 316 more than a fifth of the fish sold in 17 cities in six states of the Amazon region of Brazil contains 317 dangerous levels of mercury (Basta et al. 2023). In humans, long term exposure to either 318 inorganic or organic mercury can permanently damage the brain, the kidneys, and also bring 319 harm to the developing fetus (summarized by Chan et al. 2010).

#### 320 Climate Change

321 Ongoing climate change poses significant threats to the Amazon, impacting the entire 322 ecosystem and its interconnections. Climate change alters rainfall, temperature, and moisture 323 patterns across the Amazon Basin, impacting freshwater and wetlands ecosystems. Climate 324 models predict a decline in annual precipitation for the future, particularly in the southern basin, 325 heightening the region's vulnerability (Agudelo et al. 2023). This can lead to many streams and 326 rivers ceasing to flow for several months in certain areas, which can result in local extinctions 327 of species (Datry et al. 2023). Such changes lead to adaptations in aquatic fauna and flora, but 328 can also result in higher mortality rates among fish (Barletta et al. 2010) and aquatic mammals 329 (Marmontel et al. 2024).

#### 330 **Deforestation and Forest Fragmentation**

331 High deforestation rates impact Amazon Freshwater Ecosystems in different ways, including 332 important changes in the regional hydrological cycle. Deforestation reduces evapotranspiration 333 and increases temperatures, thereby decreasing the amount of water vapor in the atmosphere 334 (Wongchuig et al. 2023). This can reduce the recycling of precipitation, the surface runoff and 335 sediments exported from the Andes to the low-lying Amazon, increasing the risk of droughts, 336 tree mortality and fires (Nobre et al. 2016; Sierra et al. 2021.).

#### C. SOLUTIONS TO MAINTAIN AND RESTORE AMAZON 337

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## FRESHWATER ECOSYSTEMS

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340 Concrete actions and the formulation of public policies (Figure 3) are proposed here to

341 address the pressing need for preserving and enhancing freshwater connectivity in the

Amazon, encompassing longitudinal, lateral, vertical, temporal, biocultural, and bioeconomic 342

343 linkages. We highlight the need for coordination, cooperation, and collaboration among the344 Amazonian countries around policies, practices, and incentives to protect and restore

345 freshwater ecosystems. The following recommendations are put forth:

346

## 347 I. Reduction of River Fragmentation: Promoting Longitudinal Connectivity in Amazon 348 Freshwater Networks

349

 Cease Construction of Dams: We advocate for a moratorium on dam construction within the Amazon basin. Instead, we propose investment in innovative, decentralized, and sustainable alternative energy projects, which engage society and communities as stake and right holders. These initiatives not only provide income for local populations but also safeguard biocultural and bioeconomy connections and activities, as well as critical ecosystem functions such as migratory routes and sediment transportation.

- Dam Removal for Connectivity Restoration: Obsolete and inefficient dams that
   significantly disrupt local economies and impede fish migration and fisheries
   production should be considered for removal. Other existing dams can be integrated
   with alternative energy systems, such as solar, in order to be more efficient.
- 360 3. Establishment of Fluvial Community Reserves: We recommend the creation of local
   and/or regional fluvial community reserves spanning international borders. These
   reserves would uphold diverse levels of freshwater connectivity, supporting IPLCs to
   sustainably manage resources while preserving invaluable ecosystems by recognizing
   the interconnectedness of freshwater ecosystems with socio-economic well-being.
- 365
   4. Transnational Governance Agreements: Developing transnational agreements for
   366 regional governance is essential to safeguard free-flowing rivers along national
   367 boundaries. Cross-border collaboration efforts are needed to identify and implement
   368 sustainable energy and infrastructure projects with minimized impacts and identifying
   369 solutions for energy and infrastructure projects.
- 370

## 371 II. Addressing Water Pollution and Restoring Riparian Vegetation to Preserve Lateral 372 Connectivity in the Amazon Freshwater Network

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- 374 5. Investment in Water Treatment Infrastructure: It is imperative to invest in water
   375 treatment plants to effectively treat domestic and industrial effluents originating from

- Amazonian cities and rural communities. This investment aims to restore the freshwater
  quality of Amazon waterways, safeguarding the health of aquatic ecosystems and
  human populations.
- Formulation of Pollution Control Policies: Public policies must be formulated and
  enforced to regulate pollution from diverse sources, including agricultural runoff and
  industrial discharges. These policies are essential for maintaining optimal water quality
  across Amazonian water bodies and mitigating the adverse impacts of pollution on both
  ecological and human health.
- 384
   7. Strengthening Monitoring and Enforcement: Implementing stringent monitoring
   385 mechanisms and imposing penalties for both illegal and legal activities, such as mining,
   386 that contribute to freshwater degradation and pollution is crucial. This approach ensures
   387 accountability and deters harmful practices that compromise the integrity of Amazonian
   388 Freshwater Ecosystems.
- 8. Restoration of Riparian Buffer Zones: To uphold lateral and vertical connectivity,
   efforts should be directed towards restoring and maintaining riparian buffer zones with
   native plant species along river corridors. Also, these riparian buffers retain sediments,
   favor successional processes, and serve as natural filtration systems, mitigating influx
   of pollutants into freshwater ecosystems while promoting biodiversity and ecological
   resilience.
- 395

## 396 III. Addressing Climate Change Impacts to Preserve Vertical Connectivity in the Amazon 397 Freshwater Network

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9. Deforestation and Degradation Reduction: Urgent action is required to
significantly reduce deforestation and degradation of forests and freshwater ecosystems
401 (Figure 4). These activities are vital for maintaining crucial processes such as carbon
402 sequestration and water evaporation and evapotranspiration. Also, this will promote the
403 reduction of emissions, which is a key step to mitigate global climate change.

404 10. Integrating Climate Change Strategies: It is imperative to integrate climate
405 change mitigation and adaptation strategies into regional and local planning efforts. This
406 holistic approach fosters sustained ecosystem resilience, enabling Amazonian
407 freshwater ecosystems to withstand and adapt to the challenges posed by climate
408 change, such as changes in precipitation, while maintaining their vital connectivity.

410 IV. Promoting Investment in Science, Technology, and Innovation to Foster Scientists,
411 Indigenous Communities and Civil Society Connectivity within the Amazon Freshwater
412 Network

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414 9. Enhanced Monitoring of Freshwater Ecosystems: It is imperative to monitor 415 Amazonian freshwater ecosystem dynamics across various scales and their responses to 416 drivers of environmental degradation. Also monitor hydrology, chemistry diversity, 417 life-history of organisms, food web dynamics, critical ecosystem process and fisheries, 418 the relationship between water-use by agro-industry and water table, among others. This 419 necessitates investment in research focused on understanding the impacts of compounding disturbances and fostering freshwater resilience, providing vital 420 421 information to bolster local governance efforts.

- Invest in Research and Innovation. We advocate for substantial investment in transdisciplinary research aimed at developing innovative and technological solutions (Box 4) tailored for the unique bioeconomic challenges concerning fisheries, floodplain production, and conservation across various scales. Investment in programs dedicated to Amazon Freshwater Research and Technologies Initiatives within higher education institutions across Amazonian countries is also crucial.
- 428

429 16. Facilitating Scholar, Researcher and Practitioner Exchange: Developing public
430 policies to facilitate the exchange of scholars, researchers and practitioners within the
431 Amazon region is essential. By promoting collaboration and knowledge sharing, these
432 policies catalyze the advancement of science, technology, and innovation initiatives,
433 fostering a more holistic approach to addressing the complex challenges facing
434 Amazonian freshwater ecosystems.

435

## 436 IV. Enhancing Collaboration and Conservation Strategies for Biocultural and Bioeconomic 437 Connectivity in the Amazon Freshwater Network

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439 17. Community Empowerment for Conservation: Local communities must be
440 protagonists of the conservation of Amazon Freshwater Ecosystems, particularly
441 through the designation of protected areas and the establishment of *Fluvial Community*

*Reserves.* By empowering communities in conservation efforts and recognizing them as
stake and right holders, we can ensure the sustainable management of these invaluable
resources.

- 445 18. Restoration Initiatives: Investment in science-based and nature-based restoration
  446 programs tailored to the unique characteristics of each ecosystem is essential.
  447 Empowering local communities to develop restoration projects fosters a sense of
  448 ownership and responsibility, potentially leading to effective conservation outcomes.
- 449 19. Management of Fisheries: Implement local and regional public policies for the
  450 sustainable management of fisheries. Encourage the exchange of successful regional
  451 practices and strategies in fisheries management to prevent the depletion of fish stocks
  452 respecting the carrying capacity of the ecosystem and the patterns of migratory fish.
- 453 20. Recognition -of Indigenous and Local Knowledge: The traditional knowledge of
   454 local and indigenous communities regarding the management and use of freshwater
   455 ecosystems must be recognized and respected. Integrating this knowledge into
   456 conservation strategies enhances their effectiveness and promotes cultural preservation.
- 457 24. Collaborative Governance Structures: Establishing collaborative governance
  458 structures is vital to ensure culturally sensitive and sustainable management of
  459 freshwater resources. These structures should include local communities in decision460 making processes, fostering a sense of shared responsibility and ownership.
- 461 25. Regional Collaboration: Encouraging collaborative efforts among Amazon basin
   462 countries is essential to address shared challenges and formulate joint conservation and
   463 restoration strategies.
- 464 26.Global Support for Sustainable Practices: Seeking global cooperation and
  465 financial support is crucial to aid in the implementation of sustainable policies and
  466 practices in the Amazon freshwater network.
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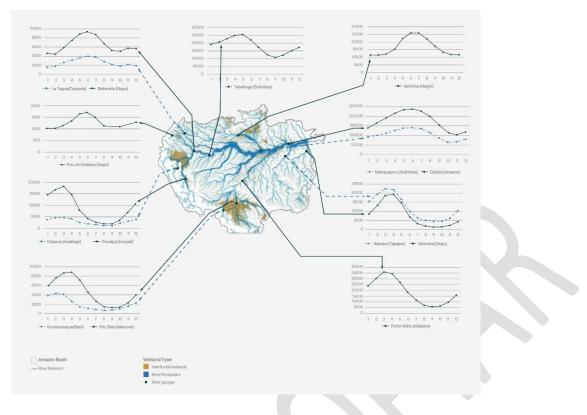
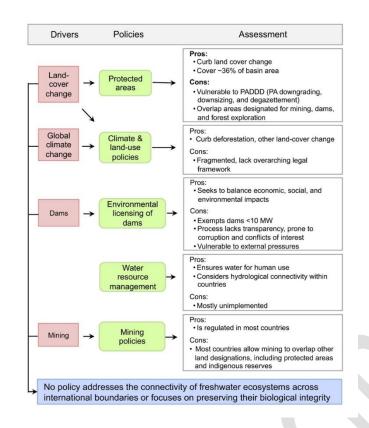


Figure 1. Seasonal cycles of river discharges (m<sup>3</sup> s<sup>-1</sup>). Fluctuations in river discharge drive pronounced seasonal changes in the water level of large Amazon rivers, causing them to overflow their banks into adjacent floodplains.



Figure 2. Existing and planned Hydroelectric Plants in the Amazon pose significant threats to freshwater
 ecosystems by disrupting their vital connections.



**Figure 3.** Drivers of freshwater ecosystem degradation and public policies proposed to address the pressing need for preserving and enhancing freshwater connectivity in the Amazon.

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### Figure 4. Actions needed to avoid degradation of freshwater ecosystems.

733 Box 1: Seasonal rainfall cycles

734 Seasonal rainfall cycles in the upper part of the Andean-Amazon Basins of Colombia and Ecuador 735 follow an unimodal regime with a wet season during the austral winter (Laraque et al. 2007; Arias et al. 736 2021). In the lowland part of the Amazon-Andes of Ecuador predominates a bimodal annual cycle of 737 precipitation, with peak discharge observed around March-April and October-November (Campozano 738 et al. 2018). Seasonal rainfall cycles in the upper part of the Andean-Amazon Basins of Colombia and 739 Ecuador follow an unimodal regime with a wet season during the austral winter (Laraque et al. 2007; 740 Arias et al. 2021). In the lowland part of the Amazon-Andes of Ecuador predominates a bimodal annual 741 cycle of precipitation, with peak discharge observed around March-April and October-November 742 (Campozano et al. 2018). Fluctuations in rainfall and river discharge drive pronounced seasonal changes 743 in the water level of large Amazon rivers, causing them to overflow their banks into adjacent floodplains

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### 745 Box 2: Water, myths and traditional knowledge

746 Regarding the conservation of freshwater ecosystems, these traditional knowledges offer healthy 747 guidance regarding the management of these habitats and respect to the services it provides. That is 748 because the worldview of many Indigenous Peoples understands natural resources not as owned by 749 humans, since spirits or masters inhabit plants, animals, minerals or rocks (Athayde et al. 2014). For 750 the Munduruku people, for example, to relate to the forest and to the rivers also implies relating to the 751 spirits that inhabit them. Therefore, they must negotiate conviviality and respectful exchanges with all 752 beings, being able to articulate multiple existing worlds. Traditional knowledge also forms the basis for 753 understanding complex ecological processes that would not be described without communities passing 754 them down from generation to generation. Another example of this is the description of a spawning area 755 in the Beni basin based on fishermen's accounts indicating the fishing of pairs of dorados near the 756 Altamirani community. Characterizing this area allowed extrapolation of its characteristics to other 757 basins, identifying at least 22 other potential dorado spawning zones (Miranda & Venticinque, 2022), a 758 key basis for management decision-making in these areas and enhancing another role of overlapping 759 protected areas.

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## 761 Box 3: The quest for community management and inclusive governance

By integrating the local population's territoriality and traditional ways of living with new techniques to enhance current practices, community management of natural resources contributes to conservation efforts and to the political and social strengthening of areas where it is implemented. The communitybased approach (Figure 5) aims to develop decentralized and locally based systems that both provide benefits to local inhabitants and protect ecological systems (Peralta et al., 2019; Lavandera, 2023). Essentially, co-management involves participatory decision-making processes where the regulation of
natural resource use is shared among users, with joint responsibility among national or subnational
governments, NGOs, and local cooperatives. In Brazil, for instance, the Mamiraua Institute has
demonstrated the success of this approach, particularly in pirarucu management (Castello et al., 2009),
community-based timber extraction (Waldhoff et al., 2013), ecotourism (Peralta et al., 2018), and in
monitoring of caiman and dolphin hunting- (Pimenta et al., 2018).

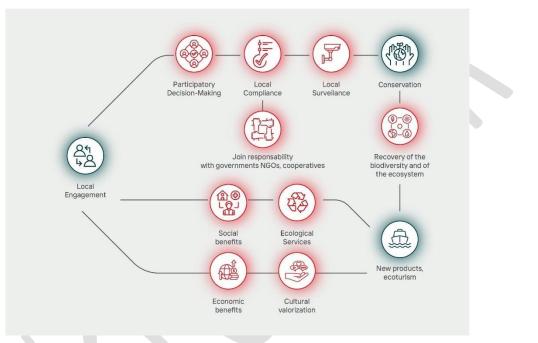


Figure 5. Community-Based Management for Conservation and Socio-Political Resilience in
 Freshwater Ecosystems.

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### 780 Box 4: Technology and nature-based solutions: a pathway out of degradation

781 Investments in research and innovation have led to already existing technologies with the potential to 782 maintain social and economic importance of some extractive activities, while offering alternatives that 783 avoid further degradation. For example, a potential use of cyanogenic plants has been demonstrated for 784 gold leaching, such as the bitter cassava, presenting itself as an alternative for less impactful artisanal 785 and small-scale gold mining (Torkaman, 2023). The replacement of mercury by local plants would be 786 a significant step towards sustainable development for the region, given the technologies are adapted to 787 site-specific conditions. Also, aquaculture has a great potential in providing protein to the region or even 788 abroad, promoting social and economic development. In that regard, the development of biofloc systems 789 in aquaculture reduces feed costs, stimulates lesser water use by reduction of water exchange rates and, 790 finally, and replaces fish meals and fish oils in the feeding of the animals (Khanjani et al. 2023). Lastly, 791 there are already successful cases for alternative energy sources in Amazonia that could help the region

phase out of the dependency on hydroelectric dams. For instance, in Ecuador, 12 villages of the
Mukucham family, deeply in eastern provinces of the country, already rely on solar panels for
transportation, for powering schools and for fueling ecotourism (Alarcon, 2024).

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## 796 Box 5: Fluvial Community Reserves: Pioneering a Novel Conservation Approach

River systems, unlike their terrestrial counterparts, have historically received less regulatory attention despite their critical ecosystem services. Globally, a significant proportion of rivers lack protection, with many facing severe conservation challenges surpassing those of terrestrial ecosystems. Addressing this disparity in river conservation necessitates acknowledging rivers as conservation entities and instituting effective governance for their preservation.

Simultaneously, any new policy in that matter must not only prioritize conservation objectives but also recognize the rightful communities who directly benefit from river systems. Across the Amazon Basin, rivers provide essential resources such as water, food, tourism, transportation, and imbue significant cultural and spiritual connections. Hence, empowering and involving indigenous and local communities in crafting conservation frameworks becomes imperative. This ensures that issues related to river use are identified and solutions are aligned with their cultural and socioeconomic contexts.

Therefore, the concept of **Fluvial Community Reserves** is presented as a provocative idea aiming to spark discussions on governance models that address the challenges of river conservation while empowering dependent communities. These reserves should be a result of a transdisciplinary approach, one that seeks to protect river flow, riparian vegetation, biodiversity, and ecosystem functions, while equally preserving legitimate and sustainable human interactions with the river.

To effectively establish Fluvial Community Reserves, several key elements must be considered: **active community involvement in decision-making**, backed by political and long-term financial support; **comprehensive assessment** of river and watershed qualities to identify key ecological attributes requiring action; **establishment of appropriate legal frameworks** and rights over natural resources through community engagement and river assessments; fostering **institutional agreements** and **transnational collaboration structures**; and implementing evaluation and adaptive management through **monitoring and periodic assessments**.

An illustrative case is the Curaray River, home to the Curaray-Nushiño River Reserve, situated within the habitat of indigenous Waorani and Kichwa communities. This reserve, protecting the Curaray River's headwaters, serves as a vital corridor linking two Biosphere Reserves: Yasuní and Sumaco-Napo Galeras. Indigenous communities recognize the interconnectedness of river headwaters and lowlands, crucial for the forest's interaction with floodplain areas. Such river systems provide habitats for migratory fish, aquatic mammals, and other species sustaining local livelihoods. From examples of

- 826 conservation and management like these, we can foster the last opportunity to protect rivers for their
- 827 natural properties.
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